# 03-19-07

PTO/SB/21 (09-06) Approved for use through 03/31/2007. OMB 0651-0031

er the Paperwork Reduction Act of 1995, no persons ar	re required to res		omice; U.S. DEPARTMENT OF COMM ounless it displays a valid OMB control nu
•		Application Number	10/554,173
TRANSMITTAL FORM		Filing Date	April 20, 2004
		First Named Inventor	Magnus HERTZBERG
		Art Unit	Not Yet Assigned
(to be used for all correspondence after initial filing)		Examiner Name	Not Yet Assigned
Total Number of Pages in This Submission	9 + 1 disk	Attorney Docket Number	514862002400

ENCLOSURES (Check all that apply)						
Fee Transmitte	al Form	Drawing(s)		After Allowance Communication to TC		
Fee Atta	ached	Licensing-related Papers		Appeal Communication to Board of Appeals and Interferences		
Amendment/R	Reply	Petition		Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)		
After Fin	nal	Petition to Convert to a Provisional Application		Proprietary Information		
Affidavits	s/declaration(s)	Power of Attorney, Revocation Change of Correspondence		Status Letter		
Extension of T	ime Request	Terminal Disclaimer		X Other Enclosure(s) (please Identify below):		
2 pgs Information Dis Certified Copy Document(s) Reply to Missir Incomplete Ap	ng Parts/	Request for Refund  CD, Number of CD(s)  Landscape Table on  Remarks	CD	1. COPY of Notice of Defective Resp - 2 pgs 2. Sequent List Stmt - 2 pgs 3. Sequence List (paper copy) - 4 pgs 4. Sequence List CRF - 1 disk		
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT						
Firm Name M	MORRISON & FOERSTER LLP (Customer No. 20872)					
	Michael Rule					
Printed name Mi	ichael R. Ward					
Date Ma	arch / 5, 2007		Reg. No.	38,651		

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV 709 179 098 US, on the date shown below in an envelope addressed to:

MS: SEQUENCE LIST, Commissioner for Patents, P.O. Box 1450, Mexandria, VA 22313-1450.



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UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

FIRST NAMED APPLICANT U.S. APPLICATION NUMBER NO. ATTY. DOCKET NO. 10/554,173 Magnus Hertzberg 514862002400

INTERNATIONAL APPLICATION NO.

PCT/SE04/00604

I.A. FILING DATE PRIORITY DATE 04/20/2004

04/28/2003

20872 MORRISON & FOERSTER LLP 425 MARKET STREET SAN FRANCISCO, CA 94105-2482

RECEIVED MAR 0 5 2007 MORRISON | FOERSTER JRV

**CONFIRMATION NO. 7641** 371 FORMALITIES LETTER \*OC000000022692794\*

Date Mailed: 03/01/2007

## NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495)

- Priority Document
- Copy of the International Application filed on 10/21/2005
- Copy of the International Search Report filed on 10/21/2005
- Preliminary Amendments filed on 10/21/2005
- Information Disclosure Statements filed on 10/21/2005
- Biochemical Sequence Diskette filed on 08/08/2006
- Oath or Declaration filed on 08/08/2006
- Biochemical Sequence Listing filed on 08/08/2006
- Request for Immediate Examination filed on 10/21/2005
- U.S. Basic National Fees filed on 10/21/2005
- Priority Documents filed on 10/21/2005

Applicant's response filed 08/08/2006 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed 06/13/2006 have not been completed.

 A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 CFR 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required terms.

For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (571) 272-0951
- For Patentin Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.
- Send e-mail correspondence for Patentin Software Program Help @ ebc@uspto.gov

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. <a href="https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html">https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html</a>

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at <a href="http://www.uspto.gov/ebc.">http://www.uspto.gov/ebc.</a>

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

KAREN M WILLIAMS

Telephone: (703) 308-9140 EXT 213

#### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/554,173	PCT/SE04/00604	514862002400

FORM PCT/DO/EO/916 (371 Formalities Notice)

MAR 1 5 2007

hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV 709 179 098 US, on the date shown below in an envelope addressed to:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA

Dated: March

(Lilia Olsen)

Docket No.: 514862002400

(PATENT)

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Magnus HERTZBERG

Application No.: 10/554,173

Confirmation No.: 7641

Filed: April 20, 2004

Art Unit: Not Yet Assigned

For: TISSUE SPECIFIC PROMOTERS

Examiner: Not Yet Assigned

# RESPONSE TO NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATION CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

MS Sequence Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

This is in response to the Notice To Comply With Requirements For Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures, dated March 1, 2007. Applicants are submitting their response herewith within the one-month response period set to expire on April 1, 2007.

The U.S. Patent and Trademark Office has taken the position that the previous "Sequence Listing" in computer readable form did not comply with the requirements of Section 1.824 for the stated reason that it contained several raw sequence listing errors. Submitted herewith in connection with the above-referenced patent application is a substitute, computer readable copy of the Sequence Listing that corrects the defects noted in the raw sequence listing. No new matter has been added to the substitute Sequence Listing.

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Application No.: 10/554,173

Application Notice to Comply

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Docket No.: 514862002400

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Applicants respectfully request the above-referenced patent application be placed upon

The files for examination.

Dated: March

, 2007

Respectfully submitted,

By Michael R. Ward

Registration No.: 38,651 MORRISON & FOERSTER LLP

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